

Attorney Docket No.: 53635-0505

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): MacIen MARVIT, et al.

Group Art Unit: 2131

Application No.: 09/923,847

Examiner: Not Yet Assigned

Filed: August 6, 2001

Title: DYNAMIC ENCODING ALGORITHMS AND  
INLINE MESSAGE DECRYPTION

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**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION**

Box Missing Parts  
Commissioner for Patents  
Washington, D.C. 20231

RECEIVED  
APR 01 2002  
Technology Center 2100

Sir:

This is in response to a Notice to File Missing Parts of Application under 37 CFR 1.53(f). Enclosed is a copy of said Notice and the following documents and fees to complete the filing requirements of the above-identified application.

(X) Executed Declaration and Power of Attorney. The above-identified application is the same application which the inventor executed by signing the enclosed declaration.

( ) Statutory basic filing fee ( ) Utility \$370.00 ( ) Design

( ) Additional claim fees of \$

(X) Missing Parts Surcharge = \$65.00

(X) 21 sheets of substitute drawings in compliance with 37 CFR 1.84

( ) Extension of Time Request for reply to Notice of Missing Parts is requested.

( ) one month = \$55.00

( ) two month = \$200.00

(X) Applicant(s) is entitled to small entity status. See 37 CFR 1.27.

(X) Request for a Corrected Filing Receipt


Throughout the pendency of this application, please charge any additional fees, including any required extension of time fees, and credit all overpayments to deposit account 50-1302. A duplicate of this sheet is enclosed.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231.

Date of Deposit: October 22, 2001  
Typed Name: Sheila Severinghaus

Signature: Sheila Severinghaus

Respectfully submitted,

By 

Edward A. Becker

Reg. No. 37,777

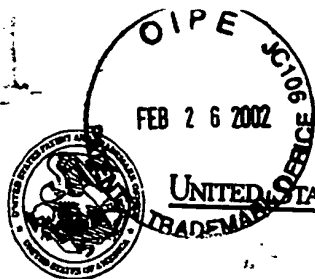
Date: October 22, 2001

Telephone No.: (408) 414-1204

Hickman Palermo Truong & Becker, LLP

1600 Willow Street

San Jose, California 95125-5106



FEB 26 2002

## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/923,847	08/06/2001	MacLen Marvit	53635-0505

Hickman Palermo Truong & Becker, LLP  
1600 Willow Street  
San Jose, CA 95125-5108

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CONFIRMATION NO. 2160

FORMALITIES LETTER



0000000006485225\*

Date Mailed: 08/23/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

03/04/2002 SHINASS1 00000054 09923847

01 FC:205

65.00 OP

FILED UNDER 37 CFR 1.53(b)

APR 01 2002

Filing Date Granted

Technology Center 2100

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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Receipt  
Attorney Docket No. 53635-0505

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

Group Art Unit No.: 2131

MacLen Marvit, et al.

Examiner: Not Yet Assigned

Serial No.: 09/923,847

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Filed: August 6, 2001

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For: DYNAMIC ENCODING ALGORITHMS AND  
INLINE MESSAGE DECRYPTION

Technology Center 2100

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Washington, DC 20231

**REQUEST FOR A CORRECTED FILING RECEIPT**

Sir:

Please furnish the undersigned with a corrected filing receipt for the above-identified application. The corrections needed are as follows:

- (1) The first inventor's name, MacLen Marvit is incorrect and should read "MacLen Marvit".
- (2) The Domestic Priority data is incorrect and should read "This application is a CIP of 09/300,085 04/26/1999 and claims benefit of 60/222,815 08/04/00"

Respectfully submitted,

HICKMAN PALERMO TRUONG & BECKER LLP

Edward A. Becker  
Registration No. 37,777

Dated: 10-22-01

1600 Willow Street  
San Jose, California 95125-5106  
Telephone: (408) 414-1204  
Facsimile: (408) 414-1076

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231 on Oct. 22, 2001.

on October 22, 2001 by Shirley Senghoo



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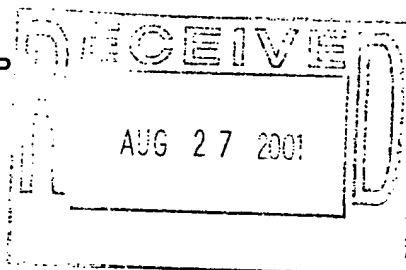
Page 1 of 3

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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/923,847	08/06/2001	2131	1129	53635-0505	21	66	12

CONFIRMATION NO. 2160

Hickman Palermo Truong & Becker, LLP  
1600 Willow Street  
San Jose, CA 95125-5106

FILING RECEIPT



\*OC00000006465224\*

Date Mailed: 08/23/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s) Maclen

~~Maclen~~ Marvit, Residence Not Provided;  
Keith David Rosema, Residence Not Provided;  
Jeffrey Ubois, Residence Not Provided;  
David Marvit, Residence Not Provided;  
Dean Brettle, Residence Not Provided;  
Yair Zadik, Residence Not Provided;  
Stuart Goodnick, Residence Not Provided;

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Technology Center 2100

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A ~~CON~~ <sup>SEP</sup> OF 09/300,085 04/26/1999  
AND CLAIMS BENEFIT OF 60/222,815 08/04/2000

Foreign Applications

If Required, Foreign Filing License Granted 08/22/2001

Projected Publication Date: Request for Non-Publication Acknowledged

Non-Publication Request: Yes

Early Publication Request: No

\*\* SMALL ENTITY \*\*

Title



DOCKETED

DATES:

8/27/01 k

Dynamic encoding algorithms and inline message decryption

Preliminary Class

709

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Data entry by : TAN, LEA-YUET

Team : OIPE

Date: 08/23/2001



**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

**PLEASE NOTE the following information about the Filing Receipt:**

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 500 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents  
Office of Initial Patent Examination  
Customer Service Center  
Washington, DC 20231





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Bib Data Sheet

CONFIRMATION NO. 2160 *418*

<b>SERIAL NUMBER</b> 09/923,847	<b>FILING DATE</b> 08/06/2001 <b>RULE</b>	<b>CLASS</b> 709	<b>GROUP ART UNIT</b> 2131	<b>ATTORNEY DOCKET NO.</b> 53635-0505
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**APPLICANTS**

Maclen Marvit, San Francisco, CA;  
 Keith David Rosema, Seattle, WA;  
 Jeffrey Ubois, Albany, CA;  
 David Marvit, San Francisco, CA;  
 Dean Brettle, Gaithersburg, MD;  
 Yair Zadik, Palo Alto, CA;  
 Stuart Goodnick, San Francisco, CA;

**\*\* CONTINUING DATA \*\*\*\*\***

THIS APPLICATION IS A CIP OF 09/300,085 04/26/1999  
 AND CLAIMS BENEFIT OF 60/222,815 08/04/2000

**\*\* FOREIGN APPLICATIONS \*\*\*\*\*****IF REQUIRED, FOREIGN FILING LICENSE GRANTED \*\* SMALL ENTITY \*\*****\*\* 08/22/2001**

Foreign Priority claimed <input type="checkbox"/> yes <input type="checkbox"/> no	<b>STATE OR COUNTRY</b> CA	<b>SHEETS DRAWING</b> 21	<b>TOTAL CLAIMS</b> 66	<b>INDEPENDENT CLAIMS</b> 12
35 USC 119 (a-d) conditions met <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance				
Verified and Acknowledged Examiner's Signature _____ Initials _____				

**ADDRESS**

Hickman Palermo Truong & Becker, LLP  
 1600 Willow Street  
 San Jose ,CA 95125-5106

**TITLE**

Dynamic encoding algorithms and inline message decryption

<b>FILING FEE RECEIVED</b> 1194	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:	<input type="checkbox"/> All Fees
		<input type="checkbox"/> 1.16 Fees ( Filing )
		<input type="checkbox"/> 1.17 Fees ( Processing Ext. of time )
		<input type="checkbox"/> 1.18 Fees ( Issue )
		<input type="checkbox"/> Other _____
		<input type="checkbox"/> Credit

HICKMAN PALERMO  
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